

## ON IRREDUCIBLE MODULES OVER $q$ -SKEW POLYNOMIAL RINGS AND SMASH PRODUCTS

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ABSTRACT. Let  $M$  be an irreducible left module over a  $q$ -skew polynomial ring  $R[x; \sigma, \delta]$ . We give sufficient conditions for the complete reducibility of  $M$  considered as a module over the coefficient ring  $R$ . We apply it to irreducible modules over smash product  $R \# H$ , where  $H$  is a Hopf algebra generated by skew primitive elements.

### 1. INTRODUCTION

For a given extension  $R \subseteq S$  of associative rings (with the same unity), it is natural to ask whether (or when) irreducible left  $S$ -modules are completely reducible as  $R$ -modules. This question has a positive answer for several classes of “finite type” extensions: for example,

- (i) finite normalizing extensions  $R \subseteq \sum_{i=1}^n R s_i$  ([2]),
- (ii) fixed rings of a finite group actions  $R^G \subseteq R$ , with  $|G|^{-1} \in R$  ([8]),
- (iii) rings graded by finite groups  $R_1 \subseteq \bigoplus_{g \in G} R_g$  ([4]).

In this paper we study some extensions of “infinite type”; namely, we consider modules over  $q$ -skew polynomial rings. We show that, under certain conditions, for a given left  $R[x; \sigma, \delta]$ -module  $M$  its socle  $\text{Soc}(R M)$  over  $R$  is also a module over the ring  $R[x; \sigma, \delta]$ . Our conditions imply in particular that if  $q$  is not a root of 1, then:

- 1. finite dimensional irreducible  $R[x; \sigma, \delta]$ -modules are completely reducible over  $R$ ;
- 2. if  $R$  is left socular (e.g., left artinian or right perfect), then irreducible left  $R[x; \sigma, \delta]$ -modules are completely reducible over  $R$ .

As a consequence of our results on modules over  $q$ -skew polynomial rings, we obtain a description of certain modules over smash products  $R \# H$ , where  $H$  is a Hopf algebra generated by skew primitive elements. Namely, we show that if  $H$  is a character Hopf algebra (see [5]) over the field  $k$  of characteristic 0, and  $\chi^h(g)$  is not an  $n^{\text{th}}$  primitive root of 1 ( $n > 1$ ) for any character skew  $g$ -primitive element  $h \in H$ , then

- 3. every finite dimensional irreducible left  $R \# H$ -module is completely reducible as a left  $R$ -module;

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4. if  $R$  is left socular, then irreducible left  $R\#H$ -modules are completely reducible as left  $R$ -modules. Thus  $\mathcal{J}(R) \subseteq \mathcal{J}(R\#H)$ , where  $\mathcal{J}$  is the Jacobson radical.

On the other hand, we should also point out that in the case where  $H$  is finite dimensional and pointed, there is a strong relationship between the Jacobson radicals of  $R$  and the crossed product  $R\#H$ ; namely, it is proved in [7] that  $\mathcal{J}(R\#H)^{\dim_k H} \subseteq \mathcal{J}(R) \cdot (R\#H)$ .

We will now introduce the terminology and notation that will be used throughout the paper. Let  $R$  be an associative ring and  $\sigma$  be an automorphism of  $R$ . Then the additive map  $\delta: R \rightarrow R$  is a  $\sigma$ -derivation if

$$\delta(ab) = \delta(a)b + \sigma(a)\delta(b)$$

for all  $a, b \in R$ . Suppose that  $q$  is a nonzero central  $(\sigma, \delta)$ -constant in  $R$ , i.e.,  $\sigma(q) = q$  and  $\delta(q) = 0$ . If  $\delta\sigma = q\sigma\delta$ , then  $\delta$  is called a  $q$ -skew  $\sigma$ -derivation. If in addition  $R$  is a  $k$ -algebra, we assume that  $q \in k^\times$ . The following  $q$ -Leibniz Rules hold in  $R$  and  $R[x; \sigma, \delta]$ :

$$\delta(ab) = \sum_{i=0}^n \binom{n}{i}_q \sigma^{n-i} \delta^i(a) \delta^{n-i}(b) \text{ and } x^n a = \sum_{i=1}^n \binom{n}{i}_q \sigma^{n-i} \delta^i(a) x^{n-i}$$

for all  $a, b \in R$  and  $n \geq 0$ . The Gaussian  $q$ -binomial coefficient  $\binom{n}{i}_q$  is defined as the evaluation at  $t = q$  of the polynomial function

$$(1) \quad \binom{n}{i}_t = \frac{(t^n - 1)(t^{n-1} - 1) \dots (t^{n-i+1} - 1)}{(t^i - 1)(t^{i-1} - 1) \dots (t - 1)}.$$

We will use the following  $q$ -Pascal identity:

$$\binom{n}{i}_q = \binom{n-1}{i}_q + q^{n-i} \binom{n-1}{i-1}_q = \binom{n-1}{i-1}_q + q^i \binom{n-1}{i}_q$$

for  $n > i > 0$  (cf. [3]).

We will say that the ring  $R$  has  $q$ -characteristic zero if  $1 + q + \dots + q^m$  is invertible in  $R$ , for any integer  $m \geq 1$ . If in addition  $R$  is a  $k$ -algebra, then either  $q$  is not a root of unity or  $q = 1$  and  $\text{char } k = 0$ .

If  $r \in R$ , then a left  $R$ -module  $M$  is said to be  $r$ -torsion free if  $rm \neq 0$  for all nonzero  $m \in M$ . If for any  $m \in M$  there exists an integer  $n = n(m)$  such that  $r^n m = 0$ , then  $M$  is called an  $r$ -torsion module.

A submodule  $E$  of an  $R$ -module  $M$  is said to be *essential* if  $E \cap X \neq 0$  for any nonzero submodule  $X \subseteq M$ . It is well known that the intersection of all essential submodules of an  $R$ -module  $M$  is equal to the sum of all irreducible submodules of  $M$  and is called the socle of  $M$ , denoted by  $\text{Soc}(M)$ . Finally,  $\text{Sing}(M)$  will be the singular submodule of  $M$ ; that is,  $\text{Sing}(M) = \{m \in M \mid \text{ann}_R(m) \text{ is essential in } R\}$ .

## 2. $m$ -SEQUENCES AND ESSENTIAL SUBMODULES

Let  $R[x; \sigma, \delta]$  be a  $q$ -skew polynomial ring and  $M$  a left  $R[x; \sigma, \delta]$ -module. Let  $E$  be an essential  $R$ -submodule of  $M$  and  $0 \neq m \in E$ . By an  $m$ -sequence we mean a sequence  $\mathbf{r} = \{r_n\}_{n \geq 0}$  of elements of  $R$  satisfying the following properties:

- 1°  $\sigma^n(r_n)x^n m \in E$  for all  $n \geq 0$  and  $\sigma^s(r_s)x^s m \neq 0$  for some  $s$ ;

- 2° if  $\sigma^{n+1}(r_n)x^{n+1}m \in E$ , then  $r_{n+1} = r_n$ ;  
 3° if  $\sigma^{n+1}(r_n)x^{n+1}m \notin E$ , then  $r_{n+1} \in Rr_n$  and  $\sigma^{n+1}(r_{n+1})x^{n+1}m \in E \setminus \{0\}$ .

The smallest integer  $s$  such that  $\sigma^s(r_s)x^sm \neq 0$  we denote by  $\deg \mathbf{r}$  and call the degree of  $\mathbf{r}$ .

**Lemma 1.** *If  $a \in R$  and  $\sigma^s(a)x^sm \neq 0$  for some  $s \geq 1$ , then there exists an  $m$ -sequence  $\mathbf{r} = \{r_n\}_{n \geq 0}$  such that  $r_0 = a$  and  $\deg \mathbf{r} \leq s$ .*

*Proof.* The sequence  $\mathbf{r}$  we define inductively starting with  $r_0 = \dots = r_{i-1} = a$ , where  $i$  is the smallest integer such that  $\sigma^i(a)x^im \notin E$ . If such an  $i$  does not exist, the constant sequence  $\mathbf{r} = \{a\}$  satisfies the desired property. Next suppose that  $j \geq i - 1$  and  $r_0, \dots, r_j$  are given. If  $\sigma^{j+1}(r_j)x^{j+1}m \in E$ , then we put  $r_{j+1} = r_j$ . If  $\sigma^{j+1}(r_j)x^{j+1}m \notin E$ , then by essentiality of  $E$  there exists  $0 \neq c = \sigma^{j+1}(r') \in R$  such that

$$0 \neq c\sigma^{j+1}(r_j)x^{j+1}m = \sigma^{j+1}(r'r_j)x^{j+1}m \in E.$$

In this situation we put  $r_{j+1} = r'r_j$ . Clearly the sequence  $\mathbf{r}$  satisfies conditions 1° – 3°, and from the construction it follows immediately that  $\deg \mathbf{r} \leq s$ .  $\square$

An  $m$ -sequence  $\mathbf{r} = \{r_n\}_{n \geq 0}$  is said to be **weak** if  $r_j = r_{j+1}$  for some  $j \geq \deg \mathbf{r}$ . If  $r_j \neq r_{j+1}$  for all  $j \geq \deg \mathbf{r}$ , we call  $\mathbf{r}$  a **strict**  $m$ -sequence. Note that if  $\mathbf{r}$  is strict and  $j \geq \deg \mathbf{r}$ , then  $\sigma^j(r_j)x^jm \neq 0$ . Indeed, if  $\sigma^j(r_j)x^jm = 0$ , then  $\sigma^j(r_{j-1})x^jm$  must equal 0, and hence  $r_j = r_{j-1}$ .

**Lemma 2.** *Suppose that every  $m$ -sequence in  $R$  is strict. Then:*

- (1) *if  $a \in R$  is such that  $0 \neq ax^lm \in E$ , then  $\sigma(a)x^{l+1}m \notin E$ ;*
- (2) *if  $\mathbf{r} = \{r_n\}_{n \geq 0}$  is an  $m$ -sequence and  $l \geq \deg \mathbf{r}$ , then  $\sigma^j(r_l)x^jm = 0$  for all  $j < l$ ;*
- (3)  *$\text{ann}(x^{j+1}m) \subseteq \sigma^{-1}(\text{ann}(x^jm))$  for all  $j \geq 0$ .*

*Proof.* 1. Suppose that  $0 \neq ax^lm \in E$  and  $\sigma(a)x^{l+1}m \in E$ . By Lemma 1 we can take an  $m$ -sequence  $\mathbf{r}$  such that  $r_0 = \sigma^{-l}(a)$  and  $\deg \mathbf{r} \leq l$ . Then  $r_l = br_0 = b\sigma^{-l}(a)$ , where  $b \in R$ . Notice that

$$\sigma^{l+1}(r_l)x^{l+1}m = \sigma^{l+1}(b)\sigma(a)x^{l+1}m \in E.$$

Hence  $r_l = r_{l+1}$ , contradicting our assumption that every  $m$ -sequence in  $R$  is strict.

2. Suppose that  $\sigma^j(r_l)x^jm \neq 0$  for some  $j < l$ . From the definition of an  $m$ -sequence it follows that we can choose  $a, b \in R$  such that  $r_l = ar_j = br_{j+1}$ . Then  $0 \neq \sigma^j(r_l)x^jm = \sigma^j(a)\sigma^j(r_j)x^jm \in E$ . On the other hand,  $\sigma^{j+1}(r_l)x^{j+1}m = \sigma^{j+1}(b)\sigma^{j+1}(r_{j+1})x^{j+1}m \in E$ , which is impossible by 1.

3. Suppose  $a \in R$  is such that  $\sigma(a)x^{j+1}m = 0$ . By item 1, it follows that either  $ax^jm = 0$  or  $ax^jm \notin E$ . If  $ax^jm \notin E$ , then there exists  $r \in R$  such  $0 \neq rax^jm \in E$ . But in this situation  $0 = \sigma(ra)x^{j+1}m \in E$ . By item 1 we obtain that  $ax^jm$  must be equal to 0; thus  $\text{ann}(x^{j+1}m) \subseteq \sigma^{-1}(\text{ann}(x^jm))$ .  $\square$

**Corollary 3.** *If every  $m$ -sequence in  $R$  is strict, then  $R$  contains an infinite strictly descending chain of left ideals*

$$\text{ann}(m) \supsetneq \sigma^{-1}(\text{ann}(xm)) \supsetneq \dots \supsetneq \sigma^{-l}(\text{ann}(x^lm)) \supsetneq \dots$$

*Proof.* Lemma 2(3) implies that  $\sigma^{-l}(\text{ann}(x^lm)) \subseteq \sigma^{-(l-1)}(\text{ann}(x^{l-1}m))$  for any  $l > 0$ . To see that the inclusion is strict, it is enough to consider an  $m$ -sequence  $\mathbf{r}$  of degree  $\leq l - 1$ . Then Lemma 2(2) yields that  $r_l \in \sigma^{-(l-1)}(\text{ann}(x^{l-1}m))$ , but clearly  $r_l \notin \sigma^{-l}(\text{ann}(x^lm))$ .  $\square$

**Lemma 4.** *If  $R$  contains a weak  $m$ -sequence, then there exists an element  $r \in R$  and a nonnegative integer  $n$  such that*

1.  $0 \neq \sigma^n(r)x^nm \in E$  and  $\sigma^{n+1}(r)x^{n+1}m \in E$ ,
2.  $rm = \sigma(r)xm = \dots = \sigma^{n-1}(r)x^{n-1}m = 0$ .

*Proof.* Let  $l \geq \deg(\mathbf{r})$  be the smallest integer with respect to the equality  $r_l = r_{l+1}$ . Then  $\sigma^l(r_l)x^lm \neq 0$ . Otherwise, if  $\sigma^l(r_l)x^lm = 0$ , then from the definition it follows that  $\sigma^l(r_{l-1})x^lm \in E$ , and hence  $r_{l-1} = r_l$ . Next consider the smallest integer  $n$  with respect to  $\sigma^n(r_l)x^nm \neq 0$ . It is clear that  $n \leq l$ . Note that if  $j \leq l$ , then  $r_l = s_j r_j$  for some  $s_j \in R$ . Thus  $\sigma^j(r_l)x^jm = \sigma^j(s_j)\sigma^j(r_j)x^jm \in E$ . Therefore  $r = r_l$  and  $n$  satisfy the lemma.  $\square$

**Lemma 5.** *Let  $M$  be a  $q$ -torsion free left  $R[x; \sigma, \delta]$ -module and  $r \in R$ ,  $m \in M$  be such that*

$$rm = \sigma(r)xm = \dots = \sigma^{n-1}(r)x^{n-1}m = 0.$$

*Then  $\sigma^i \delta^j(r)x^im = 0$  if  $i + j \leq n - 1$ , and  $\sigma^n(r)x^nm = (-1)^n q^{\frac{n(n-1)}{2}} \delta^n(r)m$ .*

*Proof.* First we show that if  $i, j$  are nonnegative integers and  $i + j \leq n - 1$ , then  $\sigma^i \delta^j(r)x^im = 0$ .

Suppose that  $\sigma^i \delta^j(r)x^im \neq 0$  and take  $i, j$  such that the sum  $i + j$  is possibly minimal. Next take  $j$  possibly minimal. By assumption it follows that  $j > 0$ , so

$$\sigma^{i+1} \delta^{j-1}(r)x^{i+1}m = 0 \quad \text{and} \quad \sigma^i \delta^{j-1}(r)x^im = 0.$$

Thus

$$\begin{aligned} 0 &= x(\sigma^i \delta^{j-1}(r)x^im) = \sigma^{i+1} \delta^{j-1}(r)x^{i+1}m + \delta \sigma^i \delta^{j-1}(r)x^im \\ &= q^i \sigma^i \delta^j(r)x^im, \end{aligned}$$

a contradiction. The above implies, in particular, that if  $i + j = n - 1$ , then

$$0 = x(\sigma^i \delta^j(r)x^im) = \sigma^{i+1} \delta^j(r)x^{i+1}m + q^i \sigma^i \delta^{j+1}(r)x^im.$$

Hence

$$\begin{aligned} \sigma^n(r)x^nm &= -q^{n-1} \sigma^{n-1} \delta(r)x^{n-1}m = q^{n-1} q^{n-2} \sigma^{n-2} \delta^2(r)x^{n-2}m \\ &= \dots = (-1)^n q^{n-1} q^{n-2} \dots q \delta^n(r)m = (-1)^n q^{\frac{n(n-1)}{2}} \delta^n(r)m. \end{aligned}$$

$\square$

For  $1 \leq i, j \leq n$  let  $a_{ij} = \binom{i+1}{j}_q q^{(n-i)j}$ , where  $\binom{i+1}{j}_q$  denotes the Gaussian  $q$ -binomial coefficient (see (1) in the Introduction). Let

$$D_n = \det[a_{ij}] = \det \begin{bmatrix} \binom{2}{1}_q q^{n-1} & \binom{2}{2}_q q^{2(n-1)} & 0 & \dots & 0 \\ \binom{3}{1}_q q^{n-2} & \binom{3}{2}_q q^{2(n-2)} & \binom{3}{3}_q q^{3(n-2)} & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ \binom{n}{1}_q q & \binom{n}{2}_q q^2 & \binom{n}{3}_q q^3 & \dots & \binom{n}{n}_q q^n \\ \binom{n+1}{1}_q & \binom{n+1}{2}_q & \binom{n+1}{3}_q & \dots & \binom{n+1}{n}_q \end{bmatrix}.$$

**Lemma 6.**  $D_n = q^{\frac{n^3-n}{6}} (1 + q + \dots + q^n)$ .

*Proof.* Notice that by using the  $q$ -Pascal identity,

$$\begin{aligned} a_{i+1,j} &= \binom{i+2}{j}_q q^{(n-i-1)j} = \binom{i+1}{j-1}_q q^{(n-i-1)j} + \binom{i+1}{j}_q q^j q^{(n-i-1)j} \\ &= \binom{i+1}{j-1}_q q^{(n-i-1)j} + a_{ij}. \end{aligned}$$

The above implies that

$$\begin{aligned} D_n &= \det \begin{bmatrix} \binom{2}{1}_q q^{n-1} & \binom{2}{2}_q q^{2(n-1)} & 0 & \cdots & 0 \\ \binom{2}{0}_q q^{n-2} & \binom{2}{1}_q q^{2(n-2)} & \binom{2}{2}_q q^{3(n-2)} & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \binom{n-1}{0}_q q & \binom{n-1}{1}_q q^2 & \binom{n-1}{2}_q q^3 & \cdots & \binom{n-1}{n-1}_q q^n \\ \binom{n}{0}_q & \binom{n}{1}_q & \binom{n}{2}_q & \cdots & \binom{n}{n-1}_q \end{bmatrix} \\ &= \binom{2}{1}_q q^{n-1} q^{n-2} \cdots q \cdot D_{n-1} - \binom{2}{2}_q q^{2(n-1)} W_{n-1}, \end{aligned}$$

where

$$W_{n-1} = \det \begin{bmatrix} q^{n-2} & \binom{2}{2}_q q^{3(n-2)} & 0 & \cdots & 0 \\ q^{n-3} & \binom{3}{2}_q q^{3(n-3)} & \binom{3}{3}_q q^{4(n-3)} & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ q & \binom{n-1}{2}_q q^3 & \binom{n-1}{3}_q q^4 & \cdots & \binom{n-1}{n-1}_q q^n \\ 1 & \binom{n}{2}_q & \binom{n}{3}_q & \cdots & \binom{n}{n-1}_q \end{bmatrix}.$$

Again applying the  $q$ -Pascal identity, one immediately obtains that

$$\begin{aligned} W_{n-1} &= \det \begin{bmatrix} q^{n-2} & \binom{2}{2}_q q^{3(n-2)} & 0 & \cdots & 0 \\ 0 & \binom{2}{1}_q q^{3(n-3)} & \binom{2}{2}_q q^{4(n-3)} & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & \binom{n-2}{1}_q q^3 & \binom{n-2}{2}_q q^4 & \cdots & \binom{n-2}{n-2}_q q^n \\ 0 & \binom{n-1}{1}_q & \binom{n-1}{2}_q & \cdots & \binom{n-1}{n-2}_q \end{bmatrix} \\ &= q^{n-2} q^{2(n-3)} q^{2(n-4)} \cdots q^2 \cdot D_{n-2} = q^{n^2-4n+4} D_{n-2}. \end{aligned}$$

Thus

$$D_n = (1+q)q^{\frac{n(n-1)}{2}} D_{n-1} - q^{n^2-2n+2} D_{n-2}$$

with  $D_1 = 1+q$  and  $D_2 = q(1+q+q^2)$ . The lemma now follows by an easy induction.  $\square$

**Proposition 7.** *Let  $M$  be a left  $R[x; \sigma, \delta]$ -module which is  $D_n$ -torsion free for all  $n \geq 1$ . Let  $E$  be an essential  $R$ -submodule of  $M$  such that for every  $m \in E$ , the ring  $R$  contains a weak  $m$ -sequence. Then*

$$E \cap x^{-1}E = \{m \in E \mid xm \in E\}$$

*is also essential as an  $R$ -submodule.*

*Proof.* Notice that if  $e \in E$  and  $xe \in E$ , then for every  $r \in R$ ,

$$xre = \sigma(r)xe + \delta(r)e \in E.$$

Thus  $E \cap x^{-1}E$  is an  $R$ -submodule of  $M$ .

Suppose that  $E \cap x^{-1}E$  is not essential. Then there exists a nonzero element  $m \in E$  such that  $(E \cap x^{-1}E) \cap Rm = 0$ . Since  $R$  contains a weak  $m$ -sequence, by Lemma 4 we can take  $r \in R$  and  $n \geq 0$  such that

$$rm = \sigma(r)xm = \cdots = \sigma^{n-1}(r)x^{n-1}m = 0, \\ 0 \neq \sigma^n(r)x^n m \in E \quad \text{and} \quad \sigma^{n+1}(r)x^{n+1}m \in E.$$

For  $1 \leq i, j \leq n$ , let  $a_{ij} = \binom{i+1}{j}_q q^{(n-i)j}$  and  $x_j = \sigma^{n+1-j}\delta^j(r)x^{n+1-j}m$ . Applying the  $q$ -Leibniz rule for  $i = 1, 2, \dots, n-1$ , we obtain

$$\begin{aligned} 0 &= x^{i+1}(\sigma^{n-i}(r)x^{n-i}m) = \sum_{j=0}^{i+1} \binom{i+1}{j}_q \sigma^{i+1-j}\delta^j\sigma^{n-i}(r)x^{n+1-j}m \\ &= \sum_{j=0}^{i+1} \binom{i+1}{j}_q q^{(n-i)j} \sigma^{n+1-j}\delta^j(r)x^{n+1-j}m \\ &= \sigma^{n+1}(r)x^{n+1}m + \sum_{j=1}^{i+1} a_{ij}x_j. \end{aligned}$$

Thus  $\sum_{j=1}^{i+1} a_{ij}x_j = -\sigma^{n+1}(r)x^{n+1}m \in E$ . Moreover, for  $i = n$  we have

$$0 = x^{n+1}rm = \sigma^{n+1}(r)x^{n+1}m + \sum_{j=1}^n a_{nj}x_j + \delta^{n+1}(r)m,$$

so  $\sum_{j=1}^n a_{nj}x_j \in E$ . Now it is clear that for any  $j = 1, 2, \dots, n$  the element  $D_n x_j \in E$ , where  $D_n$  is the determinant from Lemma 6. We note that  $D_n x_1 = D_n \sigma^n \delta(r)x^n m \in E$ , so

$$\begin{aligned} x(D_n \sigma^n(r)x^n m) &= D_n \sigma^{n+1}(r)x^{n+1}m + D_n \delta \sigma^n(r)x^n m \\ &= D_n \sigma^{n+1}(r)x^{n+1}m + D_n q^n \sigma^n \delta(r)x^n m \in E. \end{aligned}$$

On the other hand, by Lemma 5,  $\sigma^n(r)x^n m = (-1)^n q^{\frac{n(n-1)}{2}} \delta^n(r)m$  and  $M$  is  $D_n$ -torsion free; thus

$$0 \neq D_n \sigma^n(r)x^n m \in (E \cap x^{-1}E) \cap Rm,$$

a contradiction. Therefore  $E \cap x^{-1}E$  is an essential submodule of  $M$ .  $\square$

**Corollary 8.** *Let  $M$  be a left  $R[x; \sigma, \delta]$ -module which is  $D_n$ -torsion free for all  $n \geq 1$ . Suppose that for every essential  $R$ -submodule  $E$  of  $M$  and  $0 \neq m \in E$ , the ring  $R$  contains a weak  $m$ -sequence. Then  $\text{Soc}({}_R M)$  is an  $R[x; \sigma, \delta]$ -module. In particular, if  $M$  is simple as an  $R[x; \sigma, \delta]$ -module, then either  $\text{Soc}({}_R M) = 0$  or  ${}_R M$  is completely reducible.*

*Proof.* Let  $m \in \text{Soc}({}_R M)$ . If  $E$  is an essential submodule of  ${}_R M$ , then by Proposition 7  $E \cap x^{-1}E$  is also essential, so  $m \in E \cap x^{-1}E$ . Hence  $xm \in E$ . Therefore  $\text{Soc}({}_R M)$  is an  $R[x; \sigma, \delta]$ -module.  $\square$

## 3. APPLICATIONS

In this section we describe situations in which our condition on the existence of weak  $m$ -sequences is automatically satisfied.

Let  $\Lambda$  be a well ordered set of ordinal numbers with the least element 0. For a ring  $R$  one can define a chain of ideals  $\{S_\alpha\}_{\alpha \in \Lambda}$  as follows:  $S_0 = 0$ ; if  $\alpha \in \Lambda$ , then  $S_{\alpha+1}/S_\alpha = \text{Soc}(R/S_\alpha)$ , the left socle of  $R/S_\alpha$ . If  $\beta \in \Lambda$  is a limit number, set  $S_\beta = \bigcup_{\alpha < \beta} S_\alpha$ . Recall that a ring  $R$  is said to be left *socular* (cf. [1]) if every nonzero left  $R$ -module contains a simple submodule. If  $R$  is left socular, the set  $\Lambda$  can be chosen such that  $R = S_\alpha$  for some  $\alpha \in \Lambda$ . Note that the class of socular rings contains left artinian rings and right perfect rings.

If  $A$  is a  $k$ -algebra, then  $A$ -module  $M$  is *locally finite dimensional* if every finitely generated submodule of  $M$  is finite dimensional.

**Proposition 9.** *Let  $M$  be a left  $R[x; \sigma, \delta]$ -module and  $E$  its essential  $R$ -submodule. Suppose that one of the following conditions is fulfilled:*

1.  $R$  is left socular;
2.  $R$  is a left noetherian  $k$ -algebra and  $M$  is locally finite dimensional as a  $k[x]$ -module;
3.  $\dim_k M < \infty$ ;
4. there exists an integer  $N$  such that  $d^{N+1}(r) \in \sum_{j=0}^N R d^j(r)$  for all  $r \in R$ ;
5.  $M$  is  $x$ -torsion; i.e., for any  $m \in M$  there exists  $n = n(m)$  such that  $x^n m = 0$ ;
6.  $R$  is a  $k$ -algebra,  $\sigma = \text{id}_R$  and  $M$  is locally finite dimensional as a  $k[x]$ -module.

Then for any nonzero  $m \in E$  the ring  $R$  contains a weak  $m$ -sequence.

*Proof.* **1.** Suppose that  $R$  is left socular. Let  $\gamma$  be the smallest ordinal such that  $S_\gamma$  contains an  $m$ -sequence  $\{r_l\}_{l \geq 0}$ . It is clear that  $\gamma$  is not a limit ordinal. Note that if  $a \in S_{\gamma-1}$ , then  $\sigma^l(a)x^l m = 0$ . Otherwise, we have an  $m$ -sequence  $\{r'_l\}_{l \geq 0}$  with  $r'_0 = a \in S_{\gamma-1}$ . Since  $Rr'_l \supseteq Rr'_{l+1}$ , one obtains that  $r'_l \in S_{\gamma-1}$  for all  $l$ . This contradicts minimality of  $\gamma$ .

Let  $\varphi: R \rightarrow R/S_{\gamma-1}$  be the canonical homomorphism. Since  $Rr_0 \supseteq Rr_1 \supseteq \cdots \supseteq Rr_l \supseteq \cdots$ , we have a chain

$$\varphi(Rr_0) \supseteq \varphi(Rr_1) \supseteq \cdots \supseteq \varphi(Rr_l) \supseteq \cdots$$

of cyclic submodules of a semisimple module  $S_\gamma/S_{\gamma-1}$ . Since  $\varphi(Rr_0)$  is contained in a finite direct sum of simple modules, this chain terminates. On the other hand, if  $\varphi(Rr_l) = \varphi(Rr_{l+1})$ , then there exist  $r' \in R$  and  $a \in S_{\gamma-1}$  such that  $r_l = r'r_{l+1} + a$ . By the above,  $\sigma^{l+1}(a)x^{l+1}m = 0$ , so

$$\sigma^{l+1}(r_l)x^{l+1}m = \sigma^{l+1}(r')\sigma^{l+1}(r_{l+1})x^{l+1}m \in E.$$

From the definition of an  $m$ -sequence it follows that  $r_l = r_{l+1}$ . Therefore the sequence  $\mathbf{r}$  is weak.

**2.** Suppose that every  $m$ -sequence in  $R$  is strict. Corollary 3 tells us that the chain of left ideals

$$\text{ann}(m) \supsetneq \sigma^{-1}(\text{ann}(xm)) \supsetneq \cdots \supsetneq \sigma^{-l}(\text{ann}(x^l m)) \supsetneq \cdots$$

is strict. Since  $\dim \text{span}_F(m, xm, x^2m, \dots) < \infty$ , there exists an integer  $t$  such that  $x^n m \in \text{span}_F(m, xm, x^2m, \dots, x^t m)$  for all  $n \geq t$ . Then

$$\text{ann}(m, xm, x^2m, \dots, x^t m) \subseteq \text{ann}(x^n m)$$

for  $n \geq t$ , and consequently  $\bigcap_{l=0}^{\infty} \text{ann}(x^l m) = \bigcap_{l=0}^t \text{ann}(x^l m)$ . Set  $I = \bigcap_{l=0}^t \text{ann}(x^l m)$  and take  $r \in I$ . For any  $l \geq 1$ ,  $r \in \text{ann}(x^l m)$ , so

$$\sigma^{-l}(r) \in \sigma^{-l}(\text{ann}(x^l m)) \subseteq \sigma^{-(l-1)}(\text{ann}(x^{l-1} m));$$

hence  $\sigma^{-1}(r) \in \text{ann}(x^{l-1} m)$ . Then it follows that  $\sigma^{-1}(I) \subseteq I$ , and so  $I \subseteq \sigma(I)$ . The ring  $R$  is left noetherian, so the chain  $I \subseteq \sigma(I) \subseteq \sigma^2(I) \dots$  must stop. It implies immediately that  $\sigma(I) = I$ .

Next we claim that there exists an increasing sequence  $\{f(n)\}_{n \geq 0}$  of nonnegative integers such that

$$\sigma \left( \bigcap_{l=0}^{f(n)} \text{ann}(x^l m) \right) \not\subseteq \bigcap_{j>f(n)} \text{ann}(x^j m).$$

We proceed by induction. By Corollary 3 we can put  $f(0) = 0$ . Assume  $n \geq 0$

and let  $a \in \bigcap_{l=0}^{f(n)} \text{ann}(x^l m)$  be such that  $\sigma(a)x^i m \neq 0$  for some  $i > f(n)$ . Since

$I$  is  $\sigma$ -stable,  $a \notin I$ , so there exists  $s > f(n)$  such that  $a \in \bigcap_{l=0}^{s-1} \text{ann}(x^l m)$  and

$ax^s m \neq 0$ . Take  $b \in R$  such that  $0 \neq bax^s m \in E$ . If every  $m$ -sequence is strict, then by Lemma 2(1),  $\sigma(ba)x^{s+1}m \notin E$ . Since  $E$  is essential, one can choose  $c \in R$  such that  $0 \neq \sigma(cba)x^{s+1}m \in E$ . Again by Lemma 2(1),  $cba x^s m = 0$ , so  $cba \in \bigcap_{l=0}^s \text{ann}(x^l m)$ .

Since  $\sigma(cba)x^{s+1}m \neq 0$ , we have  $\sigma \left( \bigcap_{l=0}^s \text{ann}(x^l m) \right) \not\subseteq \bigcap_{j>s} \text{ann}(x^j m)$ .

Thus it suffices to put  $f(n+1) = s$ . This proves the claim.

But now, if  $f(n) > t$ , then  $I = \bigcap_{l=0}^{f(n)} \text{ann}(x^l m) = \bigcap_{l=0}^{\infty} \text{ann}(x^l m)$ . Since  $I$  is  $\sigma$ -stable,

$$\sigma \left( \bigcap_{l=0}^{f(n)} \text{ann}(x^l m) \right) \subseteq \bigcap_{l=0}^{\infty} \text{ann}(x^l m) \subseteq \bigcap_{j>f(n)} \text{ann}(x^j m),$$

contradicting the definition of  $f(n)$ . Thus  $R$  contains a weak  $m$ -sequence.

**3.** Let  $P = \text{ann}(M)$ . Then  $\dim_F(R/P) < \infty$  and  $P \subseteq \text{ann}(x^l m)$  for any  $l$ . Note that the mapping  $a + \text{ann}(x^l m) \mapsto \sigma^{-l}(a) + \sigma^{-l}(\text{ann}(x^l m))$  provides an isomorphism of vector spaces  $R/\text{ann}(x^l m) \approx R/\sigma^{-l}(\text{ann}(x^l m))$ . Thus

$$\dim_F R/\sigma^{-l}(\text{ann}(x^l m)) \leq \dim_F(R/P).$$

From Corollary 3 it follows that  $R$  contains a weak  $m$ -sequence.

**4.** Let  $\mathbf{r} = \{r_n\}_{n \geq 0}$  be a strict  $m$ -sequence with  $\deg \mathbf{r} \leq N$ . Then  $\sigma^j(r_{N+1})x^j m = 0$  for all  $j \leq N$  and  $\sigma^{N+1}(r_{N+1})x^{N+1}m \neq 0$ . By Lemma 5,

$$0 = \sigma^j(r_{N+1})x^j m = (-1)^j q^{\frac{j(j-1)}{2}} \delta^j(r)m$$



for all  $j \leq N$ . Thus

$$\begin{aligned} \sigma^{N+1}(r_{N+1})x^{N+1}m &= (-1)^{N+1} \frac{N(N+1)}{2} \delta^{N+1}(r_{N+1})m \\ &\in \sum_{j=0}^N R\delta^j(r_{N+1})m = 0, \end{aligned}$$

a contradiction. Consequently, in this situation, every  $m$ -sequence is weak.

5. This follows directly from Corollary 3.

6. Suppose  $\sigma = \text{id}_R$ . If every  $m$ -sequence in  $R$  is strict, Corollary 3 says that the chain  $\text{ann}(m) \supsetneq \text{ann}(xm) \supsetneq \cdots \supsetneq \text{ann}(x^n m) \supsetneq \cdots$  is strict. But this contradicts our assumption that  $\text{span}_F\{m, xm, \dots, x^l m \dots\}$  is finite dimensional.  $\square$

Recall that an automorphism  $\sigma$  of the ring  $R$  is said to be of locally finite order if for every  $r \in R$ , there exists an integer  $n = n(r) > 0$  such that  $\sigma^n(r) = r$ . If the ring  $R$  is left socular, then nonzero left  $R$ -modules contain simple submodules. Therefore Proposition 9, condition 1, and Corollary 8 give us

**Corollary 10.** *If  $R$  is a left socular ring of  $q$ -characteristic zero, then simple left  $R[x; \sigma, \delta]$ -modules are completely reducible as left  $R$ -modules. Thus the Jacobson radical  $\mathcal{J}(R)$  is contained in the Jacobson radical  $\mathcal{J}(R[x; \sigma, \delta])$ . Moreover, if the automorphism  $\sigma$  has locally finite order, then*

$$\mathcal{J}(R[x; \sigma, \delta]) = \mathcal{J}(R)[x; \sigma, \delta].$$

*Proof.* Since simple  $R[x; \sigma, \delta]$ -modules are completely reducible as  $R$ -modules, we have  $\mathcal{J}(R) \subseteq \mathcal{J}(R[x; \sigma, \delta])$ . Suppose that  $\sigma$  has locally finite order. We know that  $\mathcal{J}(R[x; \sigma, \delta]) \cap R$  is a quasi-regular ideal of  $R$ , so  $\mathcal{J}(R[x; \sigma, \delta]) \cap R \subseteq \mathcal{J}(R)$  and consequently  $\mathcal{J}(R[x; \sigma, \delta]) \cap R = \mathcal{J}(R)$ . This implies that  $\mathcal{J}(R)$  is  $\delta$ -stable and

$$R[x; \sigma, \delta] / \mathcal{J}(R)[x; \sigma, \delta] \simeq (R / \mathcal{J}(R))[x; \widehat{\sigma}, \widehat{\delta}],$$

where  $\widehat{\sigma}$  is an induced automorphism and  $\widehat{\delta}$  is a  $q$ -skew  $\widehat{\sigma}$ -derivation of  $R / \mathcal{J}(R)$ , respectively. Now it remains to prove that if  $R$  is semiprimitive and socular, then  $S = R[x; \sigma, \delta]$  is semiprimitive. To this end, suppose that  $\mathcal{J}(S) \neq 0$  and let  $n$  be the minimum of degrees of nonzero polynomials from  $\mathcal{J}(S)$ . The set  $\{0\} \cup \{a \mid ax^n + g(x) \in \mathcal{J}(S), \text{ where } \deg g(x) < n\}$  is a nonzero ideal of  $R$ . In particular, it contains a minimal left ideal of the form  $I = Re$ , where  $e$  is a nonzero idempotent. Let  $f(x) = ex^n + g(x) \in \mathcal{J}(S)$  and  $m > 0$  be such that  $\sigma^m(e) = e$ . By eventually replacing  $f(x)$  by  $f(x)x^k$ , where  $k$  is such that  $\deg f(x)x^k$  is divisible by  $m$ , we have in the Jacobson radical of  $S$  a nonzero polynomial  $f(x) = ex^l + h(x)$  such that  $e$  is a nonzero idempotent,  $\sigma^l(e) = e$ , and  $\deg h(x) < l$ . It is well known that  $\mathcal{J}(eSe) = e\mathcal{J}(S)e$ . Therefore

$$ef(x)e = ex^l e + eh(x)e = ex^l + \widetilde{h}(x) \in \mathcal{J}(eSe),$$

where  $\widetilde{h}(x) \in eSe$ . Let  $eg(x)e \in eSe$  be a quasi-inverse for  $ef(x)e$ . Then  $eg(x)e$  has a positive degree  $s$  in  $x$  and

$$ef(x)e + eg(x)e = ef(x)eg(x)e.$$

Since  $e$  is the identity element in  $eSe$ , the right-hand side of the above equality has degree  $n + s > \max\{n, s\} \geq \deg(ef(x)e + eg(x)e)$ . Thus  $\mathcal{J}(S) = 0$ .  $\square$

In [6] the authors considered the so-called “finite Jacobson radical”  $\mathcal{J}_{fin}(R)$  of a  $k$ -algebra  $R$ , defined as the intersection of all the annihilators of all finite dimensional irreducible (left)  $R$ -modules. Thus by Proposition 9, condition 3, and Corollary 8 we have

**Corollary 11.** *Let  $R$  be a  $k$ -algebra with a  $q$ -skew  $\sigma$ -derivation  $\delta$ . If  $R$  has  $q$ -characteristic zero, then every finite dimensional irreducible left  $R[x; \sigma, \delta]$ -module is completely reducible as a left  $R$ -module. Thus*

$$\mathcal{J}_{fin}(R) \subseteq \mathcal{J}_{fin}(R[x; \sigma, \delta]).$$

We note that  $R$  can be viewed as a left  $R[x; \sigma, \delta]$ -module with the action defined as

$$\left(\sum_i a_i x^i\right) \cdot r = \sum_i a_i \delta^i(r).$$

The  $R[x; \sigma, \delta]$ -submodules of  $R$  are precisely the left ideals of  $R$  which are stable under  $\delta$ . Recall that  $\delta$  is said to be locally algebraic if  $R$  is locally finite dimensional as a left  $k[x]$ -module. Moreover in this case, if  $m \in R$ , then  $\sigma^{-l}(\text{ann}_R(x^l m)) = \text{ann}_R(\delta^l(\sigma^{-l}(m)))$ . Thus if  $R$  satisfies descending chain condition on left annihilators, then Corollary 3 guarantees that for any essential left ideal  $E$  and a nonzero element  $m \in E$ , the ring  $R$  contains a weak  $m$ -sequence. Therefore we can apply Propositions 7, 9 and Corollary 8 to obtain the following.

**Corollary 12.** *Let  $R$  be a  $k$ -algebra of  $q$ -characteristic zero, with a  $q$ -skew  $\sigma$ -derivation  $\delta$ . Suppose that one of the following conditions is fulfilled:*

- (1)  *$R$  satisfies dcc on left annihilators;*
- (2)  *$R$  is left noetherian and  $\delta$  is locally algebraic;*
- (3)  *$\delta$  is locally nilpotent;*
- (4) *there exists an integer  $N$  such that for any  $r \in R$ ,  $\delta^{N+1}(r) \in \sum_{j=0}^N R\delta^j(r)$ ;*
- (5)  *$\sigma = \text{id}_R$ ,  $q = 1$  and the derivation  $\delta$  is locally algebraic.*

*If  $M$  is a left  $R[x; \sigma, \delta]$ -module, then the singular submodule  $\text{Sing}_R(M)$  over  $R$  is also an  $R[x; \sigma, \delta]$ -submodule. The left socle  $\text{Soc}_R(R)$  of  $R$  and left singular ideal  $\text{Sing}_R(R)$  are  $\delta$ -invariant. In addition, if  $R$  contains a minimal left ideal and  $R$  does not contain proper  $\delta$ -stable two-sided ideals, then  $R$  is a semisimple artinian ring.*

*Proof.* Let  $m \in \text{Sing}_R(M)$  and  $L = \text{ann}_R(m)$ . If  $L$  is an essential left ideal of  $R$ , then by Proposition 7,  $\widehat{L} = L \cap \delta^{-1}(L) = \{r \in L \mid \delta(r) \in L\}$  is essential. It is also clear that  $\sigma(\widehat{L})$  is essential, and for every  $r \in \widehat{L}$ ,

$$\sigma(r)xm = xrm - \delta(r)m = 0.$$

Hence  $\sigma(\widehat{L}) \subseteq \text{ann}_R(xm)$  and  $xm \in \text{Sing}_R(M)$ . Consequently,  $\text{Sing}_R(M)$  is an  $R[x; \sigma, \delta]$ -submodule of  $M$ .

If  $R$  contains a minimal ideal, then  $\text{Soc}_R(R)$  is a nonzero and  $\delta$ -stable ideal of  $R$ . Therefore if  $R$  is  $\delta$ -simple, then  $R = \text{Soc}_R(R)$ . Since  $R$  has unity,  $R$  is a finite direct sum of minimal left ideals.  $\square$

Let  $H$  be a Hopf algebra with comultiplication  $\Delta$  and with the group  $G$  of group-like elements, i.e.,  $G = \{g \in H \mid \Delta(g) = g \otimes g\}$ . For  $g \in G$ , let

$$L_g = \{h \in H \mid \Delta(h) = h \otimes 1 + g \otimes h\}$$

be the subspace of  $g$ -primitive (skew primitive) elements. It is clear that the group  $G$  acts on  $H$  by the conjugations  $h^g = g^{-1}hg$  and that the subspace  $L = \bigoplus_{g \in G} L_g$  is  $G$ -stable under this action. Following [5], recall that an element  $h \in H$  is said to be a *character element* if there exists a character  $\chi: G \rightarrow k^\times$  such that for all  $g \in G$ ,

$$g^{-1}hg = \chi(g)h.$$

If  $h$  is a nonzero character element, then the character  $\chi$  is uniquely determined by the above equality, and  $\chi = \chi^h$  is called a *weight* of  $h$ . A Hopf algebra  $H$  is called a *character* if the group  $G$  is abelian and  $H$  is generated as an algebra with unity by character skew primitive elements. This is a large class of Hopf algebras containing, among others, quantum planes, Drinfeld-Jimbo quantized enveloping algebras  $U_q(\mathfrak{g})$ , and  $G$ -universal enveloping algebras of Lie color algebras.

If  $R$  is an associative algebra acted on by a character Hopf algebra  $H$ , then any character skew primitive element  $h \in L_g$  acts on  $R$  as a  $\chi^h(g)$ -skew  $g$ -derivation. In this situation, any left module  $M$  over the smash product  $R \# H$  is a module over the skew polynomial ring  $R[x; g, h]$ , where the action of  $x$  coincides with the action of  $h$ , i.e.,  $xm = hm$ . Therefore, we are in a position to apply Propositions 7, 9 and Corollary 8 to actions of character Hopf algebras.

**Theorem 13.** *Let  $H$  be a character Hopf algebra over the field  $k$  of characteristic 0 and suppose that  $\chi^h(g)$  is not an  $n^{\text{th}}$  primitive root of unity ( $n > 1$ ) for any character skew primitive element  $h \in L_g$  and  $g \in G$ . Let  $R$  be an associative  $H$ -module algebra. Then:*

- (1) *Every finite dimensional irreducible left  $R \# H$ -module is completely reducible as a left  $R$ -module. In particular,  $\mathcal{J}_{\text{fin}}(R) \subseteq \mathcal{J}_{\text{fin}}(R \# H)$ .*
- (2) *If  $R$  is left socular, then irreducible left  $R \# H$ -modules are completely reducible as left  $R$ -modules. Thus  $\mathcal{J}(R) \subseteq \mathcal{J}(R \# H)$ .*

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